



COMMERZBANK

# External Reporting Services

Whistleblowing

## Austria

AT-Finanzmarktaufsicht Österreich (FMA)

BKMS-System:

<https://www.bkms-system.net/bkwebanon/report/clientInfo?cin=11FMA61&c=-1&language=ger>

## Bulgaria

The Commission for the Protection of Personal Data

<https://www.cdpd.bg/en/index.php?p=rubric&aid=69>

## China

China Banking and Insurance Regulatory Commission

[国家金融监督管理总局 \(cbirc.gov.cn\)](http://www.cbirc.gov.cn)

People's Bank of China

[中国人民银行 \(pbc.gov.cn\)](http://www.pbc.gov.cn)

State Administration for Foreign Exchange (SAFE)

[国家外汇管理局门户网站 \(safe.gov.cn\)](http://www.safe.gov.cn)

## Czech Republic

Ministry of Justice of the Czech Republic

[Chci podat oznámení – veřejnost – Oznamovatel \(justice.cz\)](http://www.justice.cz)

## Germany

Bundesanstalt für  
Finanzdienstleistungsaufsicht  
Bundeskartellamt  
Bundesamt für Justiz

[BaFin - Hinweisgeberstelle](http://www.bafin.de)

[Bundeskartellamt - Hinweise auf Kartellverstöße](http://www.bundeskartellamt.de)  
[BfJ - Hinweisgeberstelle \(bundesjustizamt.de\)](http://www.bundesjustizamt.de)

## Spain

Comisión de Prevención del Blanqueo de Capitales e Infracciones Monetarias

SEPBLAC  
Comunicación de operaciones/Procedimiento de comunicación de operaciones sospechosas y respuestas a requerimientos  
<https://www.sepblac.es/es/>

## France

Défenseur des Droits

[Défenseur des Droits \(defenseurdesdroits.fr\)](https://www.defenseurdesdroits.fr)

Autorité judiciaire

[Ministère de la Justice | Ministère de la justice](https://www.justice.gouv.fr)

Autorité des Marchés Financiers (for market abuse)

[Autorité des marchés financiers \(AMF\): Protection of savings, Investors' information and Proper functioning of financial markets \(amf-france.org\)](https://www.amf-france.org)

Agence Française Anticorruption (for bribery topics)

[Accueil | Agence française anticorruption \(agence-anticorruption.gouv.fr\)](https://www.agence-anticorruption.gouv.fr)

Direction Générale des Finances Publiques (for tax-related fraud)

[DGFIP | economie.gouv.fr](https://www.economie.gouv.fr)

## Japan

The Financial Services Agency

[公益通報窓口及び相談窓口の設置について : 金融庁 \(fsa.go.jp\)](https://www.fsa.go.jp) → [公益通報窓口及び相談窓口の設置について : 金融庁 \(fsa.go.jp\)](https://www.fsa.go.jp) → [Whistleblowing Form] [公益通報窓口及び相談窓口の設置について : 金融庁 \(fsa.go.jp\)](https://www.fsa.go.jp)

Call: 0570-016811/From an IP phone or PHS, call 03-5251-6811

Postal Mail: 100-8967

3-2-1 Kasumigaseki, Chiyoda-ku, Tokyo

Central Government Building 7

Securities and Exchange Surveillance Commission

E-Mail Form: [証券取引等監視委員会公益通報窓口・相談窓口 : 証券取引等監視委員会 \(fsa.go.jp\)](https://www.fsa.go.jp)

Postal Mail: 3-2-1 Kasumigaseki, Chiyoda-ku, Tokyo 100-8922, Japan Securities and Exchange Surveillance Commission Secretariat Market Analysis and Review Division Whistleblowing contact

Fax: 03-3506-6699 (24 hours) Please clearly state "Whistleblowing of the Securities and Exchange Surveillance Commission

Call: 03-3581-9854 (Contact for Consultation on the Mechanism, etc. of Whistleblowing)

## Italy

Segnalazioni Whistleblowing e segnalazioni aziendali  
Commissione Nazionale per le Società e la Borsa (CONSOB)

[Banca d'Italia - Segnalazioni Whistleblowing e segnalazioni aziendali \(bancaditalia.it\)](https://www.bancaditalia.it/whistleblowing/)

[Whistleblowing - AREA PUBBLICA - CONSOB](https://www.consob.it/whistleblowing/)

## Luxemburg

Commission de Surveillance du Secteur Financier (CSSF)

[CSSF - Whistleblowing](https://www.cssf.lu/whistleblowing/)

Call: Whistleblowing hotline: +352 26 25 1 27 57

E-mail: [whistleblowing@cssf.lu](mailto:whistleblowing@cssf.lu)

## Malaysia

Royal Malaysia Police (RMP)

<https://www.rmp.gov.my/>

Call: +603 - 2266 2222

E-Mail: [rmp@rmp.gov.my](mailto:rmp@rmp.gov.my)

Postal Mail: Ibu Pejabat Polis Diraja Malaysia, Bukit Aman, 50560 Kuala Lumpur, Malaysia

Malaysian Anti-Corruption Commission (MACC)

<https://www.sprm.gov.my/>

Call: 1-800-88-6000

E-Mail: [info@sprm.gov.my](mailto:info@sprm.gov.my)

Postal Mail: MACC Headquarters - No. 2, Lebuhr Wawasan, Presint 7, 62250 Putrajaya

## Netherlands

Huis voor Klokkeluiders (in case no other authority is the competent authority)

[Melding en onderzoek | Huisvoorklokkeluiders](https://www.huisvoorklokkeluiders.nl/)

Autoriteit Persoonsgegevens for data privacy violations

[Zo meldt u een datalek | Autoriteit Persoonsgegevens](https://autoriteitpersoonsgegevens.nl/)

De Nederlandsche Bank N.V. (DNB) and the Autoriteit Financiële Markten (AFM) in case of violations of financial-economic rules

[DNB Meldpunt Misstanden](https://www.dnb.nl/meldpunt-misstanden/); [Bescherming klokkeluiders \(afm.nl\)](https://www.afm.nl/bescherming-klokkeluiders/)

## Singapore

Monetary Authority of Singapore

<https://eservices.mas.gov.sg/consumerfeedback/>

## United Kingdom

Financial Conduct Authority

[Whistleblowing | FCA](https://www.fca.org.uk/whistleblowing/)

Call: +44 (0)20 7066 9200 between 10am to 3pm, or leave a message

E-Mail: [whistle@fca.org.uk](mailto:whistle@fca.org.uk)

Postal Mail: Intelligence Department (Ref PIDA), Financial Conduct Authority, 12 Endeavour Square, London, E20 1JN

## United States of America

Federal Reserve Bank New York Integrity Hotline

<https://www.reportlineweb.com/Welcome.aspx?Client=frbny>  
Call: 1-877-52-FRBNY (1-877-523-7269)

New York State Department of Financial Services

<https://www.dfs.ny.gov/consumer/fileacomplaint.htm>  
Call: (212) 480-6400/(800) 342-3736 (Monday through Friday, 8:30 AM - 4:30 PM)  
[Email a Question](#)

U.S. Commodity Futures Trading Commission

<https://www.whistleblower.gov/>  
Call: 866-873-5675 (Toll Free)  
E-Mail: [whistleblower@cftc.gov](mailto:whistleblower@cftc.gov)  
Postal Mail: Commodity Futures Trading Commission, Whistleblower Office, 1155 21st Street NW, Washington, DC 20581

U.S. Securities and Exchange Commission

<https://www.sec.gov/whistleblower>  
Call: 202-551-4790  
Postal Mail: SEC Office of the Whistleblower, 100 F Street NE, Mail Stop 5631, Washington, DC 20549

## European Union

European Commission  
Office Européen  
de Lutte Anti-Fraude (OLAF)

[https://commission.europa.eu/index\\_en](https://commission.europa.eu/index_en)

European  
Securities and Markets  
Authority (ESMA)

[https://anti-fraud.ec.europa.eu/olaf-and-you/report-fraud\\_de](https://anti-fraud.ec.europa.eu/olaf-and-you/report-fraud_de)

European  
Maritime Safety Agency (EMSA)

[https://european-union.europa.eu/institutions-law-budget/institutions-and-bodies/institutions-and-bodies-profiles/esma\\_de](https://european-union.europa.eu/institutions-law-budget/institutions-and-bodies/institutions-and-bodies-profiles/esma_de)

European  
Aviation Safety Agency (EASA)

[https://european-union.europa.eu/institutions-law-budget/institutions-and-bodies/institutions-and-bodies-profiles/emsa\\_de](https://european-union.europa.eu/institutions-law-budget/institutions-and-bodies/institutions-and-bodies-profiles/emsa_de)

European  
Medicines Agency (EMA)

[https://european-union.europa.eu/institutions-law-budget/institutions-and-bodies/institutions-and-bodies-profiles/easa\\_de](https://european-union.europa.eu/institutions-law-budget/institutions-and-bodies/institutions-and-bodies-profiles/easa_de)

[https://european-union.europa.eu/institutions-law-budget/institutions-and-bodies/institutions-and-bodies-profiles/ema\\_de](https://european-union.europa.eu/institutions-law-budget/institutions-and-bodies/institutions-and-bodies-profiles/ema_de)